## BEST AVAILABLE COPY

**PCT** 

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 4:		(11) International Publication Number: WO 87/05114	
G01N 33/18	A1	(43) International Publication Date: 27 August 1987 (27.08.87)	
<ul><li>(21) International Application Number: PCT/NI</li><li>(22) International Filing Date: 13 February 1987</li></ul>	•	erlandsch Octrooibureau, Johan de Wittlaan 15, P.O.	
(31) Priority Application Number: (32) Priority Date: 17 February 1986 ( (33) Priority Country:		pean patent), CH (European patent), DE (European	
<ul> <li>(71) Applicant (for all designated States except US): RIJKS-LANDBOUWUNIVERSITEIT WAGENINGEN [NL/NL]; Salverdaplein 10, P.O. Box 9101, NL-6700 HB Wageningen (NL).</li> <li>(72) Inventors; and</li> <li>(75) Inventors/Applicants (for US only): KLAPWIJK, Abraham [NL/NL]; Langhoven 44, NL-6721 SK Bennekom (NL). SPANJERS, Henricus, Lambertus, Franciscus, Maria [NL/NL]; Kroezel 102, NL-5345 GG Oss (NL).</li> </ul>		Published  With international search report.	
(54) Title: METHOD OF DETERMINING THE	RES	IRATION RATE OF A RESPIRING MATERIAL IN THE	

(54) Title: METHOD OF DETERMINING THE RESPIRATION RATE OF A RESPIRING MATERIAL IN THE FORM OF A CONTINUOUS PROCESS CURRENT, AS WELL AS A DEVICE SUITABLE FOR SUCH AN APPLICATION

#### (57) Abstract

Method and device for determining the respiration of respiring material such as active sludge in the form of a continuous process current before and after residence thereof in a respiration chamber by means of measuring the oxygen content in the continuous process current at a single measurement point in the incoming and outgoing current of the respiration chamber in relation to the feeding in or feeding back of the process current. Preferably the process current consists of a mixture of respiring material and waste water to be biologically purified.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT AU BB BE BG BJ BR CF CG CH CM DE DK FI	Austria Australia Barbados Belgium Bulgaria Benin Brazii Central African Republic Congo Switzerland Cameroon Germany, Federal Republic of Denmark Finland	FR GA GB HU IT JP KP KR LI LK LU MC MG	France Gabon United Kingdom Hungary Italy Japan Democratic People's Republic of Korea Republic of Korea Liechtenstein Sri Lanka Luxembourg Monaco Madagascar	MR MW NL NO RO SD SE SN SU TD	Mali Mauritania Malawi Netherlands Norway Romania Sudan Sweden Senegal Soviet Union Chad Togo United States of America
---	---	--	--	--	--

- 1 -

Method for determining the respiration rate of a respiring material in the form of a continuous process current, as well as a device suitable for such an application.

As is known, oxygen-consuming biochemical reactions such as substrate oxidation, nitrification, and formation and degradation of cell material and reserve substances take place in an active sludge suspension.

These reactions result in an overall oxygen consumption of the suspension and the rate at which said oxygen consumption takes place is therefore a good measure of the biological activity of the sludge. Said rate, expressed in mass of oxygen per unit volume and unit time is termed respiration rate.

For the purpose of biological waste water purification it is of importance to be able to measure the respiration rate of active sludge. This can be illustrated by a few examples:

15

20

- The respiration rate may be used as a basis for a better process control. Thus, an optimum matching of the aeration to the oxygen consumption makes it possible, on the one hand, to save energy costs for the aeration and, on the other hand, to match the effluent quality to the standards imposed thereon.
- A sudden decrease in the respiration rate measured in a small-scale test reactor in which partial flows of influent and return sludge are combined is an indication that the influent has an acutely toxic effect on the active sludge. This observation may then result in actions which prevent process breakdown.
- 30 In the investigation of the toxicity and a biological degradability of environmentally extraneous substances in active sludge, measurement of the respiration rate will provide important information.

The above examples imply the desirability of a reliable continuous method of measuring the respiration rate which preferably can be used on line with a view to automation.

Methods of measurement known from the state of the art are the methods using the manometric principle, 10

15

20

25

i.e. based on the measurement of the volume of oxygen consumed. Since the introduction of the manometric respiration measurement, various methods have been conceived for improving said measurement. The most well-known embodiment is the Warburg one (Jenkins, D., 1960, "The use of manometric methods in the study of sewage and trade wastes" in: Waste Treatment, Pergamon Press, New York), in which the volume of gas is kept constant. General disadvantages of the manometric methods are:

- sensitivity to temperature and pressure fluctuations,
  - the performance of the measurement is time-consuming and requires considerable experience, and
  - unsuitability for continuous application and automation.

After the introduction of the amperometric oxygen concentration measurement by means of the Clarck cell (Mancy K.H., Okun D., and Reilley C.N., 1962, "A galvanic cell oxygen analyser"; J. Electroanal. Chem., 4, 65-92), the manometric methods have been superseded by the electrochemical respiration measurements. These are based on measuring the concentration of dissolved oxygen in an active sludge suspension. The measurement of the oxygen concentration is relatively simple and lends itself to on-line applications. It is possible to correct for the effects of temperature and pressure fluctuations in a relatively simple manner. A distinction can broadly be made between two methods: the batchwise or "closed" respiration measurement and the continuous or "open" respiration measurement.

Batchwise methods are the most used. In this

case, the respiration rate is determined by measuring the rate of decrease of the oxygen content in a sludge sample after switching off the aeration and sealing it off from the atmosphere (Stack, V.T., 1970, "Method and apparatus for measuring rate of consumption of dissolved gas in a liquid"; U.S. Patent 3,510,406, and Pagge, U., and Gånthner W., 1981, "The BASF toximeter - a helpful instrument to control and monitor biological waste water treatment plants"; Wat. Sci. Tech., 13, 233-238). However,

- 3 -

said method has the disadvantage that continuous measurements are not possible.

In an open respirometer aeration takes place. equilibrium is established between the supply and the consumption of oxygen. If the oxygen supply coefficient (Kla) is known, the respiration rate can be calculated directly from the measured oxygen concentration (Farkas, 1969, "Method for measuring aerobic decomposition activity of activated sludge in an open system" - Advances in Water Pollution Research, 4th Int. Conf. Prague, April 21-25, 1969 (edited by Jenkins) Pergamon Press, London, December 4, 1969, pages 309-317, 319-327; Holmberg U. and Olsson G., 1985, "Simultaneous estimation of oxygen transfer rate and respiration rate"; Modelling and control of biotechnological processes, Preprints! Proceedings 1st IFAC Symposium, Noordwijkerhout, 11-13 December 1985). The value of Kla can in principle be determined by experimental sampling. The problem in this case is, however, that said quantity depends on various process factors and, in addition, is a function of the respiration rate.

10

15

20

25

30

35

Closed respirometers are also known in which the respiration rate is determined by allowing active sludge or comparable respiring materials, such as liquids containing oxygen-consuming bacteria, to flow through a completely closed respiration vessel, the oxygen concentration of the incoming and of the outgoing flow of the vessel being measured. The problem in this case is the accuracy of the separate oxygen sensors in the incoming and outgoing flow respectively (Mikesell R.D., (1973), "Method and apparatus for determining oxygen consumption rate in sewage", U.S. Patent 3,731,522; Merrell K.C., et al. (1974), "Continuous respirometer apparatus", U.S. Patent 3,813,325).

Figure 1 shows an embodiment of such a continuous respirometer. After the repiration chamber, for example a vessel, which is sealed off from the atmosphere and has a capacity V, has been completely filled with Liquid, a flow rate Q of an active sludge suspension is fed into it via a supply line. Just before the suspension is fed into

5

10

15

20

25

30

35

said chamber, the oxygen content is measured by means of an oxygen measuring cell  $C_1$  and the same measurement is performed by an oxygen measuring cell  $C_2$  when the suspension leaves the unaerated chamber. The theoretical average residence time can be determined using the formula V/Q and the respiration rate by means of the formula  $(C_1-C_2)$  Q/V. A prerequisite for such a measurement is that the oxygen content of the suspension should be sufficiently high, it being assumed that the content of the unaerated chamber may be regarded as ideally mixed.

It has been found, however, that two separate oxygen measuring cells may exhibit a different response characteristic and ageing pattern.

It has been found that the disadvantages known from the state of the art outlined above to effect the electrochemical respiration measurements can be eliminated if the respiration rate is determined by measuring the oxygen content in the continuous process current, which consists of respiring material, before and after residence thereof in a respiration chamber, which is completely filled with liquid and sealed off from the atmosphere, at a single measuring point in the incoming and outgoing current respectively of the respiration chamber in relation to the process current fed in or fed back.

Figure 2 shows a device for carrying out the method of measurement according to the invention. In addition to the unaerated respiration chamber, for example a vessel, which is provided with supply and drainage lines, the device comprises an oxygen measuring cell inserted next to said chamber and a pump with a reversible direction of rotation. By pumping the studge suspension alternately in both directions, the oxygen content is measured in turn in aerated studge and in studge which has not been aerated for a (residence) time. The respiration rate can be calculated in the manner described above.

Another embodiment is based on reversing the direction of flow by means of a valve switching system.

- 5 -

Any problems in measuring the oxygen concentration can be eliminated by stirring the liquid below the oxygen measuring cell.

An important aspect in the precise interpretation of the measurement data is the hydraulic behaviour of the measuring system according to the invention. The reason for this is that the sludge in the vessel reflects a situation which always lags behind the situation in the fresh sludge by a residence time and cannot therefore be compared with it as such. The hydraulic behaviour is determined by measurements of residence time. Once the hydraulic model has been established, the respiration rate can be determined at any instant from the measured oxygen concentration.

10

15

20

25

30

35

5

Moreover, it is known from the literature that the measurement of the respiration rate is used to obtain an insight into the actual oxygen consumption of an aeration tank in a waste water purification plant. Said measurement may be performed, for example, in accordance with the standard entitled "Bestimmung der Sauerstoffverbrauchsrate" ("Determination of the Oxygen Consumption Rate"), DIN 38,414, Part 6, "Deutsche Einheitsverfahren zur Wasser-, Abwasser- und Schlammuntersuchung" ("German Standard Methods for the Examination of Water, Waste Water and Sludge"). In this connection, G. Reinnarth and H. Rüffer (1983) (Bestimmung der Sauerstoffverbrauchsraten von Belebtschlamm) (Determination of the Oxygen Consumption Rates of Activated Sludge, Vom Wasser 60, 223-235) recommend aerating the studge sample as rapidly as possible and then measuring the drop in the oxygen concentration. A continuous withdrawal of active sludge followed by residence in a closed respiration vessel should then yield the oxygen consumption in the aeration tank.

It has been found, however, that a continuous withdrawal of active sludge from an aeration tank does not immediately yield the respiration rate in the aeration tank. In principle, said measurement yields only the endogenous respiration rate along with the oxygen

- 6 -

consumption as a result of the oxidation of substances still dissolved in the water. If active sludge is with-drawn from an aeration tank in which the load is below the maximum capacity, the respiration rate measured in the respiration vessel will be lower (for example, 20 to 30% or more) than the respiration rate in the aeration tank.

5

10

15

20:

25

30

3.5

According to a special embodiment of the invention, the abovementioned problem is solved in that a mixture of respiring material and waste water to be biologically purified is used as the process current in the respiration chamber. Preferably, a mixture of respiring material and waste water to be biologically purified is in this case used as process current which is such that the volume of the respiration chamber completely filled with liquid divided by the rate of flow of the waste water supplied to the chamber is equal to the volume of the aeration tank from which the process current is withdrawn divided by the rate of flow of the waste water supplied to the aeration tank.

The respirometer according to the invention can be coupled to a digital measuring and regulation system (for example, Siemens SMP modular system) which provides for the measurement of oxygen concentration, the calculation of the respiration rate and the regulation of the frequency with which the direction of rotation of the pump is reversed. The maximum frequency of reversal is determined by the rate at which the signal from the oxygen measuring cell reaches an equilibrium value. The possibility of automatically correcting the measured value of the oxygen concentration for changes in atmospheric pressure is incorporated in the control program. Figure 3 shows a diagram of the measurement arrangement in which (1) denotes the supply line of an unaerated vessel, (2) denotes the unaerated vessel, (3) denotes the drain line from the unaerated vessel, (4) denotes a magnetic stirrer, (5) denotes the oxygen measuring cell, (6) denotes the SMP system, (7) denotes a keyboard and

- 7 -

monitor coupled to said system, (8) denotes a data storage bank and (9) denotes a printer.

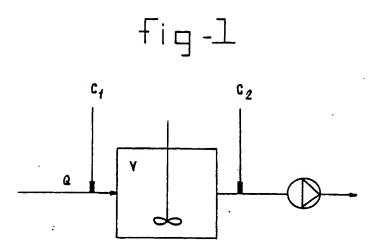
The presence of computer facilities makes it possible to determine quantities related to the respiration rate in an indirect manner. Thus, the biochemical oxygen consumption of a waste water can be calculated by integrating the measured respiration rate with respect to time. Moreover, it is possible to perform on-line process regulation on the basis thereof.

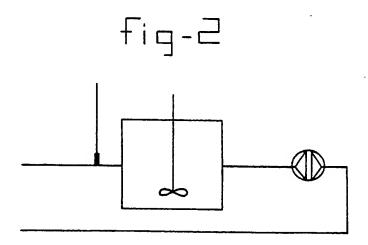
#### CLAIMS

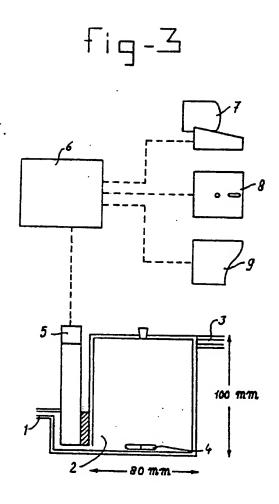
- 1. Method for determining the respiration rate of a respiring material such as active sludge in the form of a continuous process current by means of measuring the oxygen content in the continuous process current before and after residence thereof in a respiration chamber, which is completely filled with liquid and sealed off from the atmosphere, characterized in that the respiration rate is determined by means of measuring the oxygen content in the continuous process current at a single measurement point in the incoming and outgoing current of the respiration chamber respectively in relation to the feeding in or feeding back of the process current.
  - 2. Method according to Claim 1, characterized in that the feeding in and feeding back of the process current is performed in a manner such that the oxygen measuring cell is able to achieve at least an equilibrium value.
  - 3. Method according to Claim 1 or 2, characterized in that a mixture of respiring material and waste water to be biologically purified is used as the process current.
  - 4. Method according to Claim 3, characterized in that a mixture of respiring material and waste water to be biologically purified is used as the process current which is such that the volume of the chamber completely filled with liquid divided by the rate of flow of the waste water fed to the chamber is equal to the volume of the aeration tank from which the process current is withdrawn divided by the rate of flow of the waste water supplied to the aeration tank.
  - 5. Method according to one or more of Claims 1-4, characterized in that active sludge is used as respiring material.
  - 6. Device suitable for performing the method according to one or more of Claims 1-5, characterized by at least a supply line for the process current, a respiration chamber coupled thereto, free of aeration elements and sealed off from the atmosphere, at least a drainage line

for the process current from said chamber, an oxygen measuring cell inserted next to said chamber and a pump with reversible directional rotation fitted in said lines.

- 7. Device suitable for performing the method according to one or more of Claims 1-5, characterized by at least a supply line for the process current, a respiration chamber coupled thereto, free of aeration elements and sealed off from the atmosphere, at least a drainage line for the process current from said chamber, an oxygen measuring cell inserted next to said chamber and a valve switching system fitted in the lines for reversing the flow direction of the process current.
- 8. Device according to Claim 6 or 7, characterized by a digital measuring and regulating system coupled to the oxygen measuring cell(s).
- 9. Device according to Claim 6, 7 or 8, characterized by on-line application for the purpose of process control.







## INTERNATIONAL SEARCH REPORT

International Application No PCT/NL 87/00004

I. CLASS	SIFICATION OF SUBJECT MATTER (if several class	sification symbols apply, indicate all) 6	
According	g to International Patent Classification (IPC) or to both N	ational Classification and IPC	
IPC4:	G 01 N 33/18	_	
II. FIELD:	S SEARCHED		
Classificati	Minimum Docum	entation Searched 7	
	on Oleren (	Classification Symbols	
IPC4	G 01 N		
		r than Minimum Documentation ts are included in the Fields Searched <sup>6</sup>	
III. DOCU	MENTS CONSIDERED TO BE RELEVANT		
Category •	Citation of Document, 19 with Indication, where ep	propriate, of the relevant passages 12	Relevant to Claim No. 13
A	US, A, 3510406 (V.T. STA 5 May 1970 see column 2, lines	·	1
A	US, A, 3731522 (R.D. MIK 8 May 1973 see front-page cited in the application		1
A	US, A, 3813325 (K.C. MER 28 May 1974 see abstract, figure cited in the application		1
		-	
*Special categories of cited documents: 19  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" ariliar document but published on or after the international filing date of understand the principle or theory underlying the claimed invention invention and the cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed  IV. CERTIFICATION  "T" later document published after the international filing date of priority date and not in conflict with the application but cited to understand the principle or theory underlying that cited to understand the principle or theory underlying that cited to understand the principle or theory underlying date and or priority date and not in conflict with the application but cited to understand the principle or theory underlying that cited to understand the principle or theory underlying that cited to understand the principle or theory underlying date and or priority date and not in conflict with the application but cited to understand the principle or theory underlying date and or priority date and not in conflict with the application but cited to understand the principle or theory underlying date and or priority date and not in conflict with the application or cited to understand the principle or theory underlying date and or priority date and not in conflict with the application or cited to understand the principle or theory underlying date and or priority date and not in conflict with the application or cited to understand the principle or theory underlying date and or priority date and not in conflict with the application or cited to understand the principle or theory underlying date and or priority date and not in conflict with the application or cited to understand the principle or theory underlying date			
	Actual Completion of the International Search May 1987	Date of Mailing of this international Sea '- 5 JUN 1987	rch Report
Internationa	Searching Authority	Signature of Authorized Oktobra	<del></del>
	EUROPEAN PATENT OFFICE	M. VAN MOL TIVE	200

## ANNEX TO THE INTERNATIONAL SEARCH REPORT ON

INTERNATIONAL APPLICATION NO. PCT/NL 87/00004 (SA 16135)

This Annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 22/05/87

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 3510406	05/05/70	GB-A- 1132457	
US-A- 3731522	08/05/73	GB-A- 1446181	. 18/08/76
US-A- 3813325	28/05/74	DE-A- 2338004 AU-A- 5856773 GB-A- 1446182 AU-B- 470334	07/02/74 30/01/75 18/08/76 11/03/76

# This Page is inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

Ш	BLACK BORDERS
	IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
	FADED TEXT OR DRAWING
	-BLURED OR ILLEGIBLE TEXT OR DRAWING
	SKEWED/SLANTED IMAGES
	COLORED OR BLACK AND WHITE PHOTOGRAPHS
	GRAY SCALE DOCUMENTS
	LINES OR MARKS ON ORIGINAL DOCUMENT
	REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
	OTHER:

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents will not correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox